## MEDICAID POLICY INFORMATION SHEET

#### Policy Analyst: Dana Moore

#### Phone Number: 517-335-0381

Initial 🗌	Public Comment 🛛	Final 🗌	

#### Brief description of policy:

The purpose of this policy is to revise the Behavior Treatment Review subsection of the Behavioral Health and Intellectual and Developmental Disability Supports and Services Chapter within the MDHHS Medicaid Provider Manual. Revisions are being made to make language clearer and more concise, language was added to define what is prohibited and will not be approved by the Behavior Treatment Plan Review Committee (BTPRC), updates were also made to the committee member requirements, and new language was added based on chapter 1 of the Mental Health code and to better align with the Mental Health Code administrative rule.

## Reason for policy (problem being addressed):

To bring policy into alignment with the Mental Health Code Administrative rule.

## Budget implication:

budget neutral	
will cost MDHHS	\$ , and (select one) budgeted in current appropriation
will save MDHHS	\$

## Is this policy change mandated per federal requirements?

Yes

## Does policy have operational implications on other parts of MDHHS?

No

## Does policy have operational implications on other departments?

No

#### Summary of input:

- controversial
- $\boxtimes$  acceptable to most/all groups
  - limited public interest/comment

## Supporting Documentation:

State Plan Ame	ndment Required	d: 🗌 Yes	🛛 No	Public Notice Required:	Yes	🛛 No
If Yes, please p	rovide status:					
Approved	Pending	🗌 De	nied	If yes,		
Date:	Approval	Date:		Submission Date:		

DRAFT FOR PUBLIC COMMENT		
Michigan Department of		
Health and Human Services	Project Number: 232	6-BH Date: July 18, 2023
Proposed Effective Date: Octo Direct Comments To: Dan Address: E-Mail Address: Mod	ust 22, 2023 ober 1, 2023 a Moore <u>pred61@michigan.gov</u>	
<b>Phone:</b> 517	-256-7522	Fax:
Policy Subject: Policy Updates	to Behavior Treatment F	Review
<b>Affected Programs:</b> Behavioral Waivers and 1915(i) SPA	Health 1915(c) Home a	nd Community-Based Services (HCBS)
<b>Distribution:</b> Prepaid Inpatient Programs (CMHSPs)	Health Plans (PIHPs), C	community Mental Health Services
<b>Summary:</b> The purpose of this policy is to revise the Behavior Treatment Review subsection of the Behavioral Health and Intellectual and Developmental Disability Supports and Services chapter within the MDHHS Medicaid Provider Manual. Revisions are being made to make language clearer and more concise, language was added to define what is prohibited and will not be approved by the Behavior Treatment Plan Review Committee (BTPRC), updates were also made to the committee member requirements, and new language was added based on chapter 1 of the Mental Health code and to better align with the Mental Health Code administrative rule.		
	•	Health Code Administrative rule.
Cost Implications: Budget neutr	al	
Potential Hearings & Appeal Is	sues: None	
State Plan Amendment Require If yes, date submitted:		blic Notice Required: Yes 🗌 No 🖂 bmitted date:
Tribal Notification: Yes 🗌 No	) 🖂 - Date:	
THIS SECTION COMPLETED B	Y RECEIVER	
Approved		o Comments
Disapproved		ee Comments Below ee Comments in Text
		Phone Number
Signature:		
Signature Printed:		
Bureau/Administration (please	print)	Date

# **Proposed Policy Draft**

Michigan Department of Health and Human Services Behavioral & Physical Health and Aging Services Administration

Distribution:	Prepaid Inpatient Health Plans (PIHP), Community Mental Health Services Programs (CMHSPs)
Issued:	September 1, 2023 (Proposed)
Subject:	Policy Updates to Behavior Treatment Review
Effective:	October 1, 2023 (Proposed)
Programs Affected:	Behavioral Health 1915(c) Home and Community-Based Services (HCBS) Waivers and 1915(i) SPA

The purpose of this policy is to update the Behavioral Health and Intellectual and Developmental Disability Supports and Services chapter of the Michigan Department of Health and Human Services (MDHHS) Medicaid Provide Manual, Behavior Treatment Review subsection. The changes include:

- Updates to Behavior Treatment Plan Review Committee (BTPRC) committee members requirements to include language indicating other staff who can participate as non-voting members to serve as consultants and provide technical assistance per the beneficiaries request.
- New language removes "target behavior is not due to an active substantiated psychotic process" and added "beneficiary's challenging behavior is due to the active symptoms of a serious mental illness and serious emotional disturbance" (as defined in chapter 1 of the Mental Health Code [MHC]). Therefore, better aligning MDHHS Medicaid Provider Manual language with the MHC Administrative rule.
- Added language defining what is prohibited and will not be approved by the BTPRC.
- Made language clearer and more concise.

# **Behavior Treatment Review**

The federal Balanced Budget Act of 1997 requires states to ensure that enrollees in their PIHPs will "be free from any form of restraint or seclusion used as a means of coercion, discipline, convenience, or retaliation, as specified in other Federal regulations on the use of restraints or seclusion" at 42 CFR 438.100 (b)(2)(v).

A behavior treatment plan (BTP), where needed, is developed through the person-centered planning process that involves the beneficiary. To determine the need for a BTP plan, a comprehensive assessment must be completed in order to rule out any physical or environmental cause for the behavior. Any BTP that includes limitations of the beneficiary's rights, any intrusive behavior treatment techniques, or any use of psycho-active drugs for

behavior control purposes, must be reviewed and approved by a Behavior Treatment Plan Review Committee (BTPRC) comprised of at least three individuals, one of whom shall be a board-certified behavioral analyst or licensed behavior analyst and/or fully- or limited-licensed psychologist with the specified training, and one of whom shall be a licensed physician/psychiatrist. A representative of the Office of Recipient Rights (ORR) shall participate on the BTPRC as ex-officio, non-voting member in order to provide consultation and technical assistance to the Committee. Other non-voting members may be added at the BTPRC's discretion and with the consent of the individual whose behavior treatment plan is being reviewed, such as an advocate or Certified Peer Support Specialist.

**Exception:** Limitations of the beneficiary's rights, any intrusive treatment techniques, or any use of psychoactive drugs where the beneficiary's challenging behavior is due to the active symptoms of a serious mental illness and serious emotional disturbance (as defined in Chapter 1 of the MHC). Any limitation shall be justified, time-limited, and clearly documented in the plan of service. Documentation shall be included that describes attempts that have been made to avoid limitations, as well as what actions will be taken as part of the plan to ameliorate or eliminate the need for the limitations in the future.

The required BTPRC members must be present during the review and approval process. A BTPRC member who has prepared a behavior treatment plan must recuse themselves from the final decision-making. Any BTP submitted for review and approval (or disapproval) must be done in light of current research and prevailing standards of practice as found in current peerreviewed psychological/psychiatric literature. Any intrusive or restrictive technique not supported in current peer-reviewed psychological/psychiatric literature must be reviewed and approved by MDHHS prior to implementing. BTPs that propose the use of physical management, and/or involvement of law enforcement in a non-emergent situation, aversive techniques, or seclusion or restraint in a setting where it is prohibited by law shall be disapproved by the committee. Acceptable BTPs are designed to reduce maladaptive behaviors, to maximize behavioral self-control, or to restore normalized psychological functioning, reality orientation, and emotional adjustment, thus enabling the beneficiary to function more appropriately in interpersonal and social relationships. Such reviews shall be completed prior to the beneficiary's signing and implementation of the plan and as expeditiously as possible. Staff implementing the beneficiary's BTP must be trained in how to implement the plan. This coverage includes the monitoring of the BTP by the BTPRC or a designee of the committee which shall occur as indicated in the BTP.